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Attorney Docket No.:
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 36849/110

Applicant: Paul JACKSON *et al.*

Appl. No.: To be assigned

Filing Date: Concurrently herewith

Examiner: To be assigned

Art Unit: To be assigned

Title: DIPEPTIDYL PEPTIDASE IV INHIBITORS AND METHODS OF
MAKING AND USING DIPEPTIDYL PEPTIDASE IV INHIBITORS



**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56 and 37 CFR §1.97**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to applicants in order to comply with applicants' duty of disclosure pursuant to 37 C.F.R. §1.56.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or is considered to be material to patentability as defined in 37 C.F.R. §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

Applicants submit herewith on Form PTO-1449, a listing of the documents cited by or submitted to the Patent Office in parent application Serial No. 09/439,089, filed November 12, 1999. The relevance of these prior art documents is explained in the parent application.

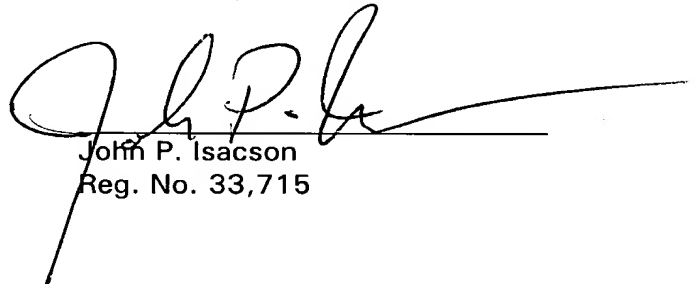
As provided in 37 C.F.R. §1.98(d), copies of the documents are not being provided since they were previously cited by or submitted to the Patent Office in parent application Serial No. 09/439,089 filed November 12, 1999.

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with M.P.E.P. §609.

Respectfully submitted,

November 13, 2000
Date

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John P. Isacson
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THE COMMISSIONER IS HEREBY AUTHORIZED TO CHARGE ANY DEFICIENCY OR
CREDIT ANY OVERPAYMENT TO DEPOSIT ACCOUNT NO. 19-0741.

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| Sheet 1 of 2 | | FORM PTO 1449 (modified) | | ATTY DOCKET NO. 36849/110 | | SERIAL NO. To be assigned | |
| U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | | | APPLICANT Paul JACKSON <i>et al.</i> | | | |
| LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) | | | | FILING DATE Concurrently herewith | | GROUP To be assigned | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE (M/D/Y) | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| | A01 | 5,874,449 | 2/23/99 | HAMILTON ET AL. | 514 | 330 | |
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| | A04 | WO94/03055 | 2/17/94 | WIPO | | | |
| | A05 | WO95/11689 | 5/4/95 | WIPO | | | |
| | A06 | WO95/34538 | 12/21/95 | WIPO | | | |
| | A07 | WO97/40832 | 11/6/97 | WIPO | | | |
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| OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | |
| | A09 | AUGUSTYNS ET AL. | CURRENT MEDICINAL CHEMISTRY 6: 311-327 (1999) | | | | |
| | A10 | BARNES ET AL. | EUROPEAN JOURNAL OF NEUROSCIENCE 6: 531-537 (1994) | | | | |
| | A11 | BELYAEV ET AL. | STRUCTURE-ACTIVITY RELATIONSHIP OF DIARYL PHOSPHATE ESTERS AS POTENT IRREVERSIBLE DIPEPTIDYL PEPTIDASE IV INHIBITORS (DIALOG ABSTRACT 11965656/6) | | | | |
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| | A15 | GLAESKE ET AL. | ORGANIC LETTERS 1(1): 31-33 (1999) | | | | |
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| | A22 | SHVALOFF ET AL. | LINES OF THERAPEUTICS RESEARCH IN ALZHEIMERS-DISEASE (DIALOG ABSTRACT 05335738/5) | | | | |
| EXAMINER | | | | DATE CONSIDERED | | | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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FOREIGN PATENT DOCUMENTS

[illegible]

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

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